

Compliance Report on Corporate Governance under Regulation 27(2) of SEBI(Listing Obligations & Disclosure Requirements) Regulations 2015

1. Name of Listed Entity: **Little Rock Trade and Investment Ltd.**
 2. Quarter ending: **30th June, 2016**

I. Composition of Board of Directors

Title (Mr. / Ms)	Name of the Director	PAN & DIN	Category (Chairperson/Executive/N on-Executive/independent/Nominee)*	Date of Appointment in the current term/cessation	Tenure	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer
Mr.	Deepa Nanda	PAN: AVGPK3223Q DIN: 06535125	Whole Time Director	14/05/2013	N/A	3	3	0
Mr.	Sankar Barik	PAN: DXTPS9799M DIN: 06535363	Independent Director	01/04/2013	38 Months	1	3	3
Mr.	Rajni	PAN: EVJPS7454G DIN: 06553628	Executive Director/CFO	14/08/2013	N/A	1	2	0

II. Composition of Committees

Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee)
1. Audit Committee	1. Rajni Sankar Barik Deepa Nanda	2. Executive 3. Independent Executive
2. Nomination & Remuneration Committee	1. Rajni Sankar Barik Deepa Nanda	2. Executive 3. Independent Executive
3. Management Committee(if applicable)		
4. Stakeholders Relationship Committee'	1.Sankar Barik 2.Deepa Nanda	Independent Executive

III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
10/02/2016	01-04-2016, 30-05-2016	58 days

IV. Meeting of Committees

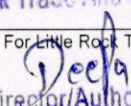
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days
30/05/2016	Yes, Audit Committee, all members were present at meeting	10/02/2016	109 days

V. Related Party Transactions

Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA

VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
- The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - Audit Committee
 - Nomination & remuneration committee
 - Stakeholders relationship committee
 - Risk management committee (applicable to the top 100 listed entities)
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

For Little Rock Trade & Investment Ltd

 Director/Authorised Signatory

Date: 10/07/2016
 Place: New Delhi

(Director)